

# State of

## Kansas

# **Personal Data Form**

Kansas Department of Administration Division of Personnel Services 900 SW Jackson Street Landon State Office Bldg., 252 South Topeka, Kansas 66612 Local Phone (785) 296-4278 E-mail psweb@da.ks.gov • On-Line <u>http://da.ks.gov/ps</u>

An Equal Opportunity Employer

First Name	Last Name		Middle Ini	itial	
Social Security Number:	Phone Number (Where reached between 8am to 5pr		Prefix: (C Mr. Mrs.		iss s.
Street Address:	City		State		Zip Code:
Highest Education Level Is: (	(Check One)				
Less than High School O High School Graduate	o 2 year Degree one Year College wo Years College wo-Year College Degree	Up to Bachelor's	College bliege	Master	Graduate School r's Degree
Veterans Preference					
Check here if claiming Ve (Notice: If you are claiming vete discharge to the Kansas Depar Room 252S, Topeka, Kansas 6	erans' preference for the tment of Administration,	Division of Perso	mail a copy onnel Servic	of your E es, 900 S	DD214, copy of S.W. Jackson,
Applicant Characteristics: Th		Sex: (Check On	e)		
is used for statistical purposes Race or Ethnic Group (Check of White	-	☐ Male ☐ Female			
<ul> <li>Black</li> <li>Hispanic</li> <li>Asian/Pacific Islander</li> <li>American Indian/Alaska Na</li> <li>Non US Citizen</li> </ul>	tive	Over 18 years	of Age: 🗌	]	

The Americans with Disabilities Act of 1990 ensures your right to reasonable accommodations. Arrangements will be made if you have a disability that requires accommodation in completing a registration form or other employment materials, in applying for a vacancy, in interviewing and any other employment process. A request for an accommodation will not affect your opportunities for employment with the State of Kansas. It is your responsibility to make your needs known to the agency to which you are applying.

## Kansas Department for Aging and Disability Services Security Clearance & Conditional Employment Acknowledgement Form

By signing this form I acknowledge and agree that:

I read the entire Kansas Department for Aging and Disability Services (KDADS) Security Clearance Policy No. 8.9 and I agree to comply with its requirements. I was provided with a copy of the Policy and given the opportunity to ask questions about it to KDADS' Human Resources. I understand the Policy and my responsibility to seek further clarification from Human Resources if at any time I am unclear about the requirements, including, but not limited to, the following:

- I understand KDADS will conduct a background check for use in determining whether to grant a security clearance for any position which may involve contact with protected, at risk or vulnerable populations such as children, the elderly, individuals with mental, emotional, intellectual or physical disabilities, and/or individuals receiving care at a State Hospital or Institution;
- I understand KDADS may require any applicant, employee, student, intern, volunteer, independent contractor or contracted staffer to obtain and maintain security clearance as a condition of employment/service to the agency;
- I understand that if this includes my position, any initial offer of employment that might be made to me is conditional and expressly contingent upon my successful completion of a background check and KDADS granting a security clearance;
- I understand that KDADS reserves the right, at its sole discretion, to require me to be fingerprinted for use in obtaining state and federal records and criminal history as part of the background check process;
- I understand that I will be required to complete criminal history background check authorization forms including: 1. KDADS Self-Report Security Clearance Application and Statement and 2. Waiver Agreement and Statement (Fingerprint-Based Record Checks for Noncriminal Justice Purposes).
- I understand that if I do not pass the background check and/or security clearance is not granted (subject to a reasonable amount of time afforded to correct or complete the criminal history record and provide additional information) any conditional offer of employment will be withdrawn, and if conditionally working I may be immediately dismissed;
- I further understand KDADS reserves the right to update or conduct an additional background check on me at any time during my employment, service other association with the agency if deemed necessary by KDADS.

Circle

My position may involve contact with protected, at risk, or vulnerable, Yes / No populations or individuals receiving care at a State Hospital or Institution.

Yes / No I have lived outside the state of Kansas within the last 5 years.

Please Print Name:

1 11110 1 (000000)

SEEN AND AGREED:

Applicant/Employee Signature

Date

HR-016 (03/014/13)

[,	, give permiss	ion for the release of in	formation co	ncerning
(PRINT ONLY)				
myself in the Adult Abuse, Neglect, Exploitation	- •			
Contact Person(s)*	Jessica Collins-Rog	ers Pho	ne <u>785-2</u>	91-0653
Agency name	KDADS			
Agency mailing address	503 S. Kansas Ave			
Agency email address	jessica.collinsroge	ers@ks.gov		
Check box if agency is a CDDO, CMHC,	or ILRC			
Maiden Name and/or Other Names Known By:				
		(PRINT ONLY)		
Address:				
Street		City	State	Zip Code
DOB: / /	SS#:			Male Female
(mm/dd/yyyy)				(mark one)
anderstand that all information released will organization/person. I have read and understa knowledge. I give permission for the release of any informa year while I am employed or associated with th	and this form and the info ation concerning myself in	rmation provided is tr 1 the Adult Abuse and	ue and corr	ect to the best of my
organization/person. I have read and understa knowledge. I give permission for the release of any informa year while I am employed or associated with th	and this form and the info ation concerning myself in he above agency	rmation provided is to 1 the Adult Abuse and YesNo	ue and corr	ect to the best of my
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#### KDADS KBI Criminal History Background Check Self Report

Results from a background check of criminal history records will list all activity, including juvenile information, expungements, and diversions.

1. Have you ever been charged in a criminal proceeding anywhere in the United States, under military law or in any foreign country with any crimes in which the final outcomes of the court action resulted in a conviction, reduced charges, plea bargaining, diversion or any other disposition other than "not guilty"?

Yes \_\_\_\_\_ No \_\_\_\_

2. Are there currently any criminal charges, indictments or outstanding warrants pending against you?

Yes \_\_\_\_\_ No \_\_\_\_\_

3. Have you been adjudicated as a juvenile offender in the last five (5) years?

Yes \_\_\_\_\_ No \_\_\_\_\_

4. Have you had any conviction(s) expunged?

Yes \_\_\_\_\_ No \_\_\_\_\_

5. Have you ever been investigated for abuse, neglect or exploitation of an adult or child?

Yes \_\_\_\_\_ No \_\_\_\_

6. Have you ever had your driver's license suspended or revoked for any reason?

Yes \_\_\_\_\_ No \_\_\_\_\_

7. Are you currently subject to a court order of protection from abuse, stalking, restraining or any order issued in Kansas or another state or Indian tribe that orders you to refrain from having direct or indirect contact with another person?

Yes No

8. If you have answered "yes" to any of the questions (1-7), please explain, including dates of events. (Attach additional pages if more space is needed.)

9. Have you lived outside of the state of Kansas within the last five years?

Yes \_\_\_\_\_ No \_\_\_\_

If yes, please indicate dates. (ex. 2010-2012)

- 10. The following personal information is required in order to complete a criminal history record check:
  - a. Have you used any other names or aliases including maiden name and name(s) from previous marriage(s)?

Yes \_\_\_\_\_ No \_\_\_\_

If yes, list all other names and aliases:

b. Date of Birth: \_\_\_\_\_

- c. Driver's License No:
- d. State of Issuance: \_\_\_\_\_
- e. Check Gender: Female \_\_\_\_ Male \_\_\_\_

f. Race (Be Specific):

- g. SSN: \_\_\_\_\_
- h. Legal Name: \_\_\_\_\_\_ Print First Middle Last
- i. Address: \_\_\_\_\_
- j. City:\_\_\_\_\_\_
- k. State: \_\_\_\_\_
- 1. Zip Code: \_\_\_\_\_
- m. County in which you live:

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## **KDADS Self Report Security Clearance Statement**

I understand that the position for which I am applying requires a security clearance and that a background check of state and/or federal records is required. Background check results must be received before a security clearance may be granted. I acknowledge that I have read a copy of KDADS' HR Policy titled 8.9 Security Clearance: Background Checks (Policy 8.9) adopted by my potential employer and that I have been given an opportunity to ask an HR representative any questions I may have concerning Policy 8.9. I hereby authorize a state and/or federal records check for the prohibited crimes and conduct described in Policy 8.9. I voluntarily WAIVE ALL RIGHTS OF RECOURSE against the State of Kansas Department for Aging and Disability Services, and its employees from all liability in processing my application for security clearance and background check. I affirm that the information given on this form is TRUE AND COMPLETE to the best of my knowledge, I understand that deliberate FALSE STATEMENTS OR MISREPRESENTATIONS could be considered grounds for rejection of my application and could be considered CAUSE FOR IMMEDIATE DISMISSAL, if employed. I understand that if selected for any other position requiring security clearance, the CONVICTION OF A PROHIBITED CRIME, OR OTHER PROHIBITED CONDUCT may subject me to IMMEDIATE DISMISSAL. Furthermore, I understand that once I am employed I am required to notify Human Resources any time I am charged with or convicted of a prohibited crime and that my failure to do so may subject me to IMMEDIATE DISMISSAL. By my signature, I acknowledge and accept these terms as CONDITIONS OF EMPLOYMENT.

Signature of Applicant

Date

Printed Name of Applicant



## **Employee Policy Manual**

8.9 Security Clearance (Background Checks)

To safeguard the interests of vulnerable customers, residents, patients and KDADS staff, and to minimize risk of their injury, KDADS may require any top applicant, employee, student, intern, volunteer, independent contractor or contracted staffer to obtain and maintain security clearance as a condition of employment/service to the agency. Results from background checks of state and federal records will be considered by KDADS in determining whether to grant security clearance. Individuals may be required to submit fingerprints for use in obtaining records.

KDADS reserves the right to disqualify from consideration for employment/service any individual who is denied or fails to maintain security clearance when required to do so. Security clearance may be denied to an individual who has been convicted of a prohibited crime as detailed below. Conviction of a prohibited crime or having engaged in prohibited conduct as defined below occurring more than five years ago will not automatically result in denial of security clearance but may be considered in determining whether to grant security clearance.

## **Prohibited Crimes:**

- Abandonment of a Child
- Abuse
- Abuse of a Child
- Aggravated Abandonment of a Child
- Aggravated Arson
- Aggravated Battery
- Aggravated Burglary
- Aggravated Incest
- Aggravated Robbery
- Aggravated Sodomy
- Aiding Escape
- Altering a Legislative Document
- Arson
- Assault
- Assisting Suicide
- Battery
- Bigamy
- Blackmail
- Bribery
- Burglary
- Contributing to a Child's Misconduct or Deprivation
- Criminal Damage to Property
- Criminal Nonsupport
- Criminal Restraint
- Criminal Threat
- Electronic Solicitation
- Endangering of a Child

- Exposing Another to a Life Threatening Communicable Disease
- Forgery
- Furnishing Alcoholic Liquor/Beverage or Cereal Malt Beverage to a Minor
- Harassment
- Hazing
- Illegal Use of Weapons of Mass Destruction or Furtherance of Terrorism
- Incest
- Indecent Liberties With a Child, Ward
- Indecent Solicitation of a Child
- Injury to a Pregnant Woman
- Interference with Parental Custody
- Interference with the Conduct of Public Business in a Public Building
- Interference with the Custody of a Committed Person
- Intimidation of a Witness or Victim
- Involuntary Manslaughter
- Kidnapping
- Lewd and Lascivious Behavior
- Making False Writing

- Mistreatment of a Confined Person
- Mistreatment of a Dependent Adult
- Murder
- Obstructing Legal Process or Official Duty
  - Official Misconduct
- Patronizing a Prostitute
- Perjury
- Permitting Dangerous Animal to be at Large
- Poisoning
- Possession, Possession with the Intent to Sell
- Promoting Obscenity
- Promoting Prostitution
- Prostitution
- Rape
- Robbery
- Sale, Manufacture or Production of any Drug Listed in the Uniform Controlled Substances Act, KSA 65-4101 et. seq.
- Sedition
- Sexual Battery
- Sexual Exploitation of a Child
- Sodomy
- Stalking
- Terrorism
- Theft



# **Employee Policy Manual**

8.9 Security Clearance (Background Checks)

- Threat, Criminal or Terroristic
- Trafficking

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- Treason
- Unlawful Administration of a Substance
- Unlawful Disclosure of Tax
   Information
- Unlawful Interference
- Unlawful Sexual Relations
- Vehicular Homicide
- Voluntary Manslaughter

\*Any other crimes including attempts, conspiracies, and solicitation to commit any of the crimes listed

A conviction or other disposition of a prohibited crime (including but not limited to entering a diversion agreement; a plea of guilty, no contest or nolo contendere; a finding of guilt on original or reduced charges by a judge or a jury; plea bargaining to lesser charges; being found not guilty by reason of insanity; or expungement of conviction) may be considered in determining whether to grant a security clearance. Any pending charges involving a prohibited crime may also be considered.

## Prohibited Conduct:

Convictions which are titled differently than those on the prohibited crimes list but which encompass *the same or substantially similar conduct* are also considered as prohibited conduct. KDADS may also consider as prohibited conduct any administrative findings or pending criminal charges or allegations of welfare fraud, state and/or federal program or benefit fraud including but not limited to food assistance, cash assistance, Medicaid and Social Security, child or adult abuse, neglect, exploitation or termination of parental rights. Other types of convictions and conduct may be considered in determining whether to grant a security clearance, if the conviction or conduct bears a substantial relationship to the job duties of the position/service and consideration is consistent with business necessity.

## WAIVER AGREEMENT AND FBI PRIVACY ACT STATEMENT

### Fingerprint-Based Record Checks for Noncriminal Justice Purposes

I hereby authorize (Name of Authorized Recipient) KDADC to submit a set of my fingerprints to the Kansas Bureau of Investigation (KBI) for the purpose of identifying me and accessing and reviewing Kansas and/or national criminal history records that may pertain to me. The fingerprints are authorized to be submitted under the authority of the National Childcare Protection Act/Volunteers for Children Act (NCPA/VCA) explained in Public Law 103-209 and Public Law 105-251. Pursuant to K.S.A. 22-4701 et seq. and K.S.A. 22-5001, the Authorized Recipient may obtain my criminal history record information for noncriminal justice purposes. By signing this waiver, it is my intent to authorize release to the above-referenced Authorized Recipient of any Kansas and/or national criminal history record that may pertain to me. I further understand that, if applicable, the Authorized Recipient may choose to deny me unsupervised access to children, the elderly, or individuals with disabilities until the criminal history background check is completed.

I understand that, upon my request, the Authorized Recipient will provide me a copy of the criminal history background report, received on me, for the purpose to challenge the accuracy and completeness of any information contained in any such report. I may be afforded a reasonable amount of time to correct or complete the criminal history record (or decline to do so) before the Authorized Recipient makes a final decision about my status as an employee, volunteer or contractor, or my eligibility for any pertinent license, certification or registration, or adoption. See 28 CFR 50.12(b).

I understand that officials receiving the results of the criminal history record check are to use those results only for authorized purposes and are prohibited from retaining or disseminating such results in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council. (See 5 United States Code (USC) 552a(b); 28 USC 534(b); 42 USC 14616, Article IV(c); 28 CFR 20.21(c), 20.33(d), and 906.2(d).)

## FBI PRIVACY ACT STATEMENT

#### Authority:

The FBI's acquisition, preservation, and exchange of information requested by this form is generally authorized under 28 U.S.C.534. Depending on the nature of your application, supplemental authorities include numerous Federal statutes, hundreds of State statutes pursuant to Pub.L. 92-544, Presidential executive orders, regulations and/or orders of the Attorney General of the United States, or other authorized authorities. Examples include, but are not limited to: 5 U.S.C. 9101; Pub.L. 94-29; Pub.L. 101-604; and Executive Orders 10450 and 12968. Providing the requested information is voluntary; however, failure to furnish the information may affect timely completion or approval of your application.

#### Social Security Account Number (SSAN).

Your SSAN is needed to keep records accurate because other people may have the same name and birth date. Pursuant to the Federal Privacy Act of 1974 (5 USC 552a), the requesting agency is responsible for informing you whether disclosure is mandatory or voluntary, by what statutory or other authority your SSAN is solicited, and what uses will be made of it. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

#### **Principal Purpose:**

Certain determinations, such as employment, security, licensing, and adoption, may be predicated on fingerprint-based checks. Your fingerprints and other information contained on (and along with) this form may be submitted to the requesting agency, the agency conducting the application investigation, and/or FBI for the purpose of comparing the submitted information to available records in order to identify other

information that may be pertinent to the application. During the processing of this application, and for as long hereafter as may be relevant to the activity for which this application is being submitted, the FBI may disclose any potentially pertinent information to the requesting agency and/or to the agency conducting the investigation. The FBI may also retain the submitted information in the FBI's permanent collection of fingerprints and related information, where it will be subject to comparisons against other submissions received by the FBI. Depending on the nature of your application, the requesting agency and/or the agency conducting the application investigation may also retain the fingerprints and other submitted information for other authorized purposes of such agency(ies).

## WAIVER AGREEMENT AND FBI PRIVACY ACT STATEMENT (Cont.)

#### Fingerprint-Based Record Checks for Noncriminal Justice Purposes

#### **Routine Uses:**

The fingerprints and information reported on this form may be disclosed pursuant to your consent, and may also be disclosed by the FBI without your consent as permitted by the Federal Privacy Act of 1974 (5 USC 552a(b)) and all applicable routine uses as may be published at any time in the Federal Register, including the routine uses for the FBI Fingerprint Identification Records System

(Justice/FBI-009) and the FBI's Blanket Routine Uses (Justice/FBI-BRU). Routine uses include, but are not limited to, disclosures to: appropriate governmental authorities responsible for civil or criminal law enforcement, counterintelligence, national security or public safety matters to which the information may be relevant; to State and local governmental agencies and nongovernmental entities for application processing as authorized by Federal and State legislation, executive order, or regulation, including employment, security, licensing, and adoption checks; and as otherwise authorized by law, treaty, executive order, regulation, or other lawful authority. If other agencies are involved in processing this application, they may have additional routine uses.

#### Additional Information:

The requesting agency and/or the agency conducting the application-investigation will provide you additional information pertinent to the specific circumstances of this application, which may include identification of other authorities, purposes, uses, and consequences of not providing requested information. In addition, any such agency in the Federal Executive Branch has also published notice in the Federal Register describing any system(s) of records in which that agency may also maintain your records, including the authorities, purposes, and routine uses for the system(s).

## RIGHT TO OBTAIN AND CHALLENGE ACCURACY OF CRIMINAL HISTORY RECORDS

You may request a copy of your state and/or national criminal history record from the Authorized Recipient for the purpose of challenging for accuracy and completeness.

Alternatively, you may obtain a copy of your Kansas criminal history record information (CHRI) to review for accuracy and completeness, by submitting a set of your fingerprints, a letter requesting your criminal history record, and payment of the appropriate fee to the KBI. For further details, including the current fee, visit the following Internet website: <u>http://www.kansas.gov/kbi/info/info\_brochures.shtml</u> then find the brochure named "Record Checks for Non-Criminal Justice Purposes". Or, to provide official court documents to make a correction you may write to:

Kansas Bureau of Investigation Attn: Criminal History Records 1620 SW Tyler Topeka, Kansas 66612-1837

If a change is made to your Kansas criminal history record due to a challenge, a new copy of your Kansas criminal history record will be sent to the Authorized Recipient to make a final decision about your status as an employee, volunteer or contractor, or your eligibility for any pertinent license, certification or registration, or adoption.

To obtain a copy of your **national CHRI**, also known as the Identity History Summary, for review and challenge you must submit a set of your fingerprints and the appropriate fee to the FBI. Information regarding this process may be obtained at: <u>https://www.fbi.gov/services/cjis/identity-history-summary-checks</u>. Or, you may write to:

FBI CJIS Division Attn: Criminal History Analysis Team 1 1000 Custer Hollow Road Clarksburg, West Virginia 26306

## WAIVER AGREEMENT AND FBI PRIVACY ACT STATEMENT (Cont.)

### Fingerprint-Based Record Checks for Noncriminal Justice Purposes

The FBI will forward your challenge to the appropriate contributing agency to verify or correct the entry. Upon receipt of an official communication directly from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency (see 28 CFR 16.30 through 16.34). The Authorized Recipient must submit a new set of fingerprints and fee to receive the updated federal criminal history record.

I have \_\_\_\_ OR have not \_\_\_\_ been convicted of a crime.

If convicted, describe the crime(s), the date and location of the crime(s), and the name of the convicting court:

Under penalty of perjury, I hereby declare that I am the person described below, and understand that any falsification of this statement constitutes a severity level 9, nonperson felony under the provisions of Title 21 Kansas Statutes Annotated, Section 5903.

The name, address, and date of birth provided below appear on a valid identification document as defined in Title 28 United States Code, section 1028.

I have been provided the Waiver Agreement, FBI Privacy Act Statement, and information how to challenge my criminal records for accuracy and completeness.

Signature	· · · · · · · · · · · · · · · · · · ·	Date		
Printed Name		Date of	of Birth	
Residential Address	City	State	Zip	

#### State of Kansas DRUG SCREENING PROGRAM



## AFFIRMATION OF POLICY FORM NON-KDOT Statement of Policy

The State of Kansas is committed to a drug-free workforce to protect the safety of workers and the public. The State administers a drug-screening program with strict policies and procedures in place to ensure its accuracy and integrity.

It is the policy of the State of Kansas that candidates given a conditional offer of employment for a designated position take a drug screen to show they are drug free. In order to protect the safety of workers and the public, no candidate whose test shows illegal drug use will be employed by the State in a designated position.

It is the policy of the State of Kansas that employees in designated or correctional facility positions may be required to take a drug screen to show they are drug-free if there is reasonable suspicion of illegal drug use. The State will give current employees with permanent status an opportunity to rehabilitate and return to their jobs as productive members of the workforce. Current employees who do not rehabilitate or who have a second test which shows illegal drug use may be disciplined in accordance with the Civil Service Act.

It is the policy of the State of Kansas to inform candidates and employees of drug screening programs prior to drug tests being conducted. The state will consider drug screening results and medical information provided by candidates and employees as confidential.

#### **Affirmation of Policy**

As a candidate for a designated position, or an employee in a designated or correctional facility position, I affirm that I have read and understand the meaning of the above statement of policy regarding the State's Drug Screening Program. As a candidate, I am aware that an offer of employment is conditional upon the results of a drug screen. As an employee in a designated or correctional facility position, I am aware that I may be required to undergo a drug screen based upon reasonable suspicion, that I will be informed prior to the drug screen, and that I may be referred to an education and treatment program depending on the results of the drug screen.

Please Check One:	Candidate 🗌	Employee	
Please Check Reason for Test:	Pre-employment Reasonable Suspicion Follow-up		Pre-Duty  Return-To-Duty
Name:			
Soc.Sec.No.:			Agency Position No.:
Agency Name:			Agency No.:
(Signature of Candidate or Employee)			Date
(Signature of Supervisor or Agency Ro	epresentative)		Date

DA 411 Agency and Candidate or Employee keep copy. Original to Collection site then mail to OPS.

Revised 12/20/2017

## **COLLECTOR INSTRUCTIONS:**

- Use Alere supplied, NON-DOT-regulated chain of Custody form with facility number \_\_\_\_\_.
   Complete the blank spaces of the facility number on the Chain of custody form with the 6-digit agency code.

DRUG SCREENING PRO	GRAM
ACKNOWLEDGMENT F NON-KDOT	ORM
position with the State of Kansas, I hereby acknowledge that I am The drug screen test will involve an analysis of a urine sample collection site. The purpose of the screen will be to test for the marijuana, cocaine, PCP, opiates and amphetamines. I acknowledge made available to the Director of the Office of Personnel Service	e which I will provide at a designated e presence of the following substances: ge that the drug screen test result will be
the agency to which I have applied for employment or where I ar candidate, I am aware that a conditional offer of employment in should I receive a confirmed positive test result or the equivalent, scheduled. As an employee with permanent status, I am aware tha have received a previous positive test result or the equivalent, I is accordance with Civil Service Guidelines. I will present a copy of report for my scheduled drug screen test. <b>Please Check One</b> : Candidate Employee	a designated position will be rescinded or fail to report to the collection site as t if I refuse to undergo treatment, or if I may be subject to disciplinary action in
candidate, I am aware that a conditional offer of employment in should I receive a confirmed positive test result or the equivalent, scheduled. As an employee with permanent status, I am aware tha have received a previous positive test result or the equivalent, I is accordance with Civil Service Guidelines. I will present a copy of report for my scheduled drug screen test.	a designated position will be rescinded or fail to report to the collection site as t if I refuse to undergo treatment, or if I may be subject to disciplinary action in f this form to the Collection Site when I
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